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Docket 82655SLP  
Customer No. 01333

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



In re Application of

Thomas M. Stephany, et al

ANIMATION SECURITY  
METHOD

Serial No. US 09/841,411

Filed 24 April 2001

Commissioner for Patents  
Washington, D.C. 20231

Group Art Unit: *To Be Assigned*

Examiner: *To Be Assigned*

I hereby certify that this correspondence is being  
deposited today with the United States Postal Service  
as first class mail in an envelope addressed to  
Commissioner for Patents, Washington, D.C. 20231.

*Lois A. Masser*  
Lois A. Masser  
*Aug 3, 2001*  
Date

Sir:

**INFORMATION DISCLOSURE STATEMENT FOR CONSIDERATION**  
**BY THE OFFICE UNDER 37 C.F.R. 1.97-1.99**

Enclosed herewith are patents and/or publications for  
consideration by the Patent and Trademark Office in regard to the invention  
claimed in the above-described application. In compliance with § 1.56, such  
documents are listed in the enclosed Form PTO-1449.

Applicants request that the Patent and Trademark Office make of  
record the above-identified documents. Unless otherwise indicated, a full text  
copy of each document is attached. For documents not in English, an English  
translation or an equivalent English language patent or publication is attached.  
Where a translation is not available, a concise explanation of the relevance of  
each document not in English is included either here or in the specification.

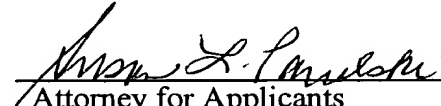
This Information Disclosure Statement is submitted according to  
the following selected paragraph:

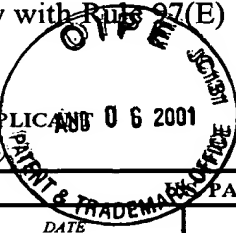
- I. ☒ This Statement is being filed under § 1.97(b) within three months of  
the filing date of the application (other than a CPA), or before the first  
Office action on the merits or before mailing of a first Office action  
after the filing of a request for continued examination.
- II. ☐ This Statement is being filed under § 1.97(c), with fee, **prior** to either  
a final action, a notice of allowance or an action that otherwise closes  
prosecution in the application. Please charge the fee required by  
§ 1.17(p) to Eastman Kodak Company Deposit Order Account  
Number 05-0225. A duplicate copy of this Statement is enclosed.

- III. ☐ This Statement is being filed under §1.97(c), with a statement under, §1.97(e) **prior** to either a final action, a notice of allowance or an action that otherwise closes prosecution in the application. The undersigned hereby states that (check one):
- ☐ each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification statement under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.
- IV. ☐ This Statement is being filed under §1.97(d), with fee and statement under §1.97(e), on or after the mailing date of either a final action, a notice of allowance (but prior to payment of the issue fee) or an action that otherwise closes prosecution in the application. Please charge the fee required by §1.17(p) to Eastman Kodak Company Deposit Order Account No. 05-0225. A duplicate copy of this Statement is enclosed. The undersigned hereby states that (check one):
- ☐ each item of information in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.
- ☐ no item of information in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification Statement under §1.97(e) after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Statement.

Respectfully submitted,

Susan L. Parulski/lam  
Telephone: (716) 477-4027  
Facsimile: (716) 477-4646  
Enclosures

  
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Attorney for Applicants  
Registration No. 39,324

FORM PTO-1449 US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Atty. Docket No. <b>82655SLP</b> Customer No. 01333		Serial No. <b>US 09/841,411</b>		
If AFTER the later date of the first Office Action or 3 months from filing, use only with Rules 97(E) Certificate or Fee  <div style="text-align: center;">  </div>		Applicant: <b>Thomas M. Stephany, et al</b>				
		Filing Date <b>24 April 2001</b>		Group <b>To Be Assigned</b>		
LIST OF ART CITED BY APPLICANT 062001 (Use several sheets if necessary)						
PATENT DOCUMENTS						
Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS						
Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES   NO
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)						
"Synthesizing Realistic Facial Expressions from Photographs," by Pighin et al., SIGGRAPH 98, Computer Graphics Proceedings, Annual Conference Series, 1998, pages 75-83.						
EXAMINER			DATE CONSIDERED			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						